



THE OFFICE OF THE INSPECTOR GENERAL
OF THE INTELLIGENCE COMMUNITY

SEMIANNUAL REPORT

OCTOBER 2022 - MARCH 2023



REPORT AVAILABILITY

The Office of the Inspector General of the Intelligence Community posts audit and inspection and evaluation reports, news releases, and information about ongoing work on the Intelligence Community Inspector General website at www.DNI.gov/ICIG.

Information about the federal Inspector General community is available through the Inspectors General Network at www.IGnet.gov.

Public reports from all federal Inspectors General who are members of the Council of the Inspectors General on Integrity and Efficiency are available at www.Oversight.gov.

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MESSAGE FROM THE INSPECTOR GENERAL

On behalf of the Office of the Inspector General of the Intelligence Community (IC IG), I am pleased to present the *Semiannual Report to Congress* summarizing the office’s activities from October 1, 2022, through March 31, 2023.

In March 2023, the IC IG issued our *Strategic Plan 2023–2027*, reaffirming and refining our mission, vision, values, goals, and objectives. During the six-month reporting period, we remained committed to our core values of Integrity, Independence, Transparency, Accountability, and Diversity. We also advanced our vital mission of promoting economy, efficiency, and effectiveness in the programs and activities within the responsibility of the Director of National Intelligence (DNI) and preventing and detecting fraud and abuse in those programs and activities.

In addition to audits, inspections, investigations, reviews, and evaluations, Hotline matters, and other activities conducted during this period, IC IG continued quarterly meetings with the DNI and senior Office of the Director of National Intelligence (ODNI) staff to discuss open IC IG recommendations, in order to promote even greater cooperation, transparency, and accountability. IC IG appreciates the DNI’s continued focus on these matters.

IC IG was also honored to host the Five Eyes Intelligence Oversight and Review Council annual meeting in the National Capital Region in November 2022. Each of the Five Eyes countries has organizations responsible for examining the legality and oversight of its intelligence activities. Representatives from each country provided updates on legislation related to mission and developments

within their jurisdictions and shared evolving best practices. Recognizing the power of inclusion, IC IG engaged varied participants, missions, and locations to facilitate participants’ broad exposure to the intelligence oversight community and functions.

We continue to work closely and collaboratively with the ODNI, Congress, U.S. Government Accountability Office, Intelligence Community Inspectors General Forum, Council of the Inspectors General on Integrity and Efficiency, Five Eyes Intelligence Oversight and Review Council, and others.

I am grateful to the IC IG team, and to those that we work with throughout the Inspector General and Intelligence Community, who make the work captured in this report possible. As a result, we are strengthening the Intelligence Community in service to the Nation.

THOMAS A. MONHEIM

Inspector General of the
Intelligence Community

APRIL 28, 2023

ABOUT US

AUTHORITY

In 2010, Congress amended the National Security Act of 1947 (50 U.S.C. § 3001 et seq.) to establish the Office of the Inspector General of the Intelligence Community (IC IG) within the Office of the Director of National Intelligence. This establishment is codified at 50 U.S.C. § 3033. The IC IG has the statutory authority to independently conduct and issue reports on audits, inspections, investigations, and reviews of programs or activities within the Director of National Intelligence’s responsibility and authority. The IC IG is authorized to receive and investigate complaints or information from whistleblowers, and to conduct independent reviews of Intelligence Community matters and whistleblower reprisal claims.

Congress also established the Intelligence Community Inspectors General Forum (the Forum) under 50 U.S.C. § 3033. The IC IG serves as the Chair and Forum members consist of the statutory and administrative Inspectors General with oversight responsibility for an Intelligence Community element. The Forum provides a venue for coordination and collaboration regarding matters of common interest, questions of jurisdiction, and access to personnel and information that may affect more than one Office of the Inspector General.

MISSION

The Office of the Inspector General of the Intelligence Community’s mission is to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in the programs and activities within the Director of National Intelligence’s responsibility and authority.

VISION

A premier workforce that exemplifies core values and strengthens the Intelligence Community and the Nation.

VALUES

 INTEGRITY Do the right thing for the right reasons and the right way.	 INDEPENDENCE Do our jobs impartially without fear or favor.	 TRANSPARENCY Foster trust and confidence through openness and honesty.	 ACCOUNTABILITY Be responsible at every level and in every direction.	 DIVERSITY Respect and actively leverage the full range of talent on our team.
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STATEMENT OF INDEPENDENCE

The Inspector General of the Intelligence Community is appointed by the President of the United States, by and with the advice and consent of the Senate. By statute, the Inspector General of the Intelligence Community must be nominated based on integrity, experience, and demonstrated ability, and without regard to political affiliation. Likewise, the Office of the Inspector General of the Intelligence Community (IC IG) must perform its duties with independence, objectivity, and impartiality. Accordingly, the IC IG's findings and conclusions are not influenced by bias or external interference; they are based on the facts and applicable law and in accordance with applicable standards for Offices of Inspector General.



The IC IG must perform its duties with independence, objectivity, and impartiality.

STATUTORY REQUIREMENTS

Pursuant to 50 U.S.C. § 3033(k)(1)(A), the IC IG shall, not later than October 31 and April 30 of each year, prepare and submit to the Director of National Intelligence (DNI) a classified and, as appropriate, an unclassified semiannual report summarizing the IC IG's activities during the immediately preceding six-month period ending September 30 and March 31, respectively.

Not later than 30 days after the date of the receipt of the report, the DNI shall transmit the report to the congressional intelligence committees together with any comments the DNI considers appropriate. The DNI shall transmit to the committees of the Senate and of the House of Representatives with jurisdiction over a department of the United States Government any portion of the report involving a component of such department simultaneously with submission of the report to the congressional intelligence committees.

All IC IG inspection and investigation activities conform to standards adopted by the Council of the Inspectors General on Integrity and Efficiency (CIGIE). The IC IG conducts all audit activities in accordance with generally accepted government auditing standards.

During this reporting period, the Office of the Director of National Intelligence (ODNI) provided the IC IG adequate funding to fulfill its mission. The budget covered personnel services and general support, including travel, training, equipment, supplies, information technology support, and office automation requirements. The IC IG also had full and direct access to all information relevant to the performance of its duties during the reporting period.

ORGANIZATIONAL STRUCTURE

The IC IG employs a highly skilled, committed, and diverse workforce, including permanent employees (cadre), employees from other Intelligence Community elements on detail to the IC IG (detailees), and contractors. Additional personnel details are listed in the *Semiannual Report Classified Annex*.



The IC IG’s leadership team comprises the Inspector General, Principal Deputy Inspector General, Counsel to the Inspector General, four Assistant Inspectors General, and the Director of the Center for Protected Disclosures.

STRATEGIC PLAN

The IC IG issued the *Office of the Inspector General of the Intelligence Community Strategic Plan 2023–2027* in March 2023, reaffirming and refining our mission, vision, values, goals, and objectives. The plan highlights IC IG leadership’s dedication to the people, products, and partnerships of the organization. The plan provides a path to advance the IC IG mission, expand cross-community engagement, and align projects and resources to enhance and strengthen the ODNI and the Intelligence Community (IC). In the spirit of transparency, IC IG is publishing its strategic plan on its public website for the first time.



The IC IG is developing a strategic action plan for fiscal year 2023, detailing initiatives to advance the goals and objectives contained in the *Office of the Inspector General of the Intelligence Community Strategic Plan 2023–2027*. Consistent with IC IG’s core values of Transparency and Accountability, we are tracking the progress made on our strategic goals and initiatives using an internal database accessible to all IC IG personnel. While changes in mission requirements may necessitate adjustment to timeframes, IC IG remains committed to the continued implementation of the goals outlined in the strategic plan.

STRATEGIC GOALS

O N E

Strengthen the foundation of the Office of the Inspector General of the Intelligence Community by recruiting, developing, and retaining a premier workforce and fostering a diverse, inclusive, collaborative, engaging, and professional environment.

T W O

Conduct high-quality and timely audits, inspections, investigations, reviews, and other activities to improve the integration, economy, and efficiencies of the Office of the Director of National Intelligence and the broader Intelligence Community. Support and protect Intelligence Community personnel who engage in lawful whistleblowing activities.

T H R E E

Enhance collaboration, coordination, and communication among Intelligence Community Offices of Inspector General, the Director of National Intelligence, Congress, and our partners and stakeholders.

SPECIAL REPORT
PROMOTING ACCOUNTABILITY
THROUGH COOPERATION

In December 2021, the Acting Director of the Office of Management and Budget issued Memorandum M-22-04, *Promoting Accountability through Cooperation among Agencies and Inspectors General*, dated December 3, 2021, to the heads of executive departments and agencies providing guidance regarding agency actions needed to support the role of Inspectors General and it identified eight ongoing agency practices to potentially enhance cooperation and accountability.

In the spirit of this memorandum, the IC IG instituted quarterly meetings with the DNI and senior ODNI staff beginning in August 2022 to discuss open IC IG recommendations. The IC IG, DNI, and senior ODNI staff met twice during the reporting period to discuss specific open recommendations. A November 2022 meeting focused on the oldest unimplemented recommendations, and a February 2023 meeting focused on some of the most significant unimplemented recommendations.

Since establishing these meetings with the DNI and senior ODNI staff in August 2022, most of the IC IG’s oldest open recommendations have been closed,¹ to include six recommendations from fiscal year (FY) 2016, four recommendations from FY 2018, and six recommendations from FY 2019.

The IC IG and DNI will continue to conduct quarterly meetings with ODNI senior leadership to discuss open recommendations and promote greater cooperation, transparency, and accountability. The next meeting is scheduled for May 2023.



¹ Six of the IC IG’s oldest open recommendations were from the FY 2016 Financial Statement Audit (FSA). The IC IG contracted with an independent public accounting firm (IPA) to conduct the FY 2022 FSA. In the course of the audit, the IPA developed updated and more targeted recommendations that had the same intent as the FY 2016 recommendations. These new recommendations replaced the aged recommendations.

INTELLIGENCE COMMUNITY MANAGEMENT CHALLENGES

The Office of the Inspector General of the Intelligence Community issued its *Capstone Report: The Intelligence Community's Top Management and Performance Challenges for Fiscal Year 2022* in February 2023 summarizing the Intelligence Community's shared management and performance challenges during FY 2022. In accordance with the Reports Consolidation Act of 2000, federal agencies' Inspectors General must prepare a report summarizing the most serious management and performance challenges their agencies face, and briefly assess their respective organization's progress in addressing those challenges. Based on audits, inspections, and investigations conducted during the previous fiscal year, each Intelligence Community Inspector General prepared reports identifying its challenges.

The IC IG collaborated with the Inspectors General from the Central Intelligence Agency, Defense Intelligence Agency, National Geospatial-Intelligence Agency, National Reconnaissance Office, and National Security Agency to prepare the consolidated classified capstone report that identifies the most significant shared challenges. Identification of an issue does not necessarily denote significant deficiencies or lack of attention on an agency's part. However, additional and continued management attention is needed to effectively mitigate the risks associated with these challenges to IC elements' ability to effectively execute their core missions.

The top Intelligence Community management and performance challenges for FY 2022 relate to the following areas (not presented in order of importance):

- | | | | |
|---|---|----|---|
| 1 | Improving Policy and Procedure Development and Implementation | 6 | Managing Insider Risk |
| 2 | Improving Human Capital Management | 7 | Investing in Emerging Technologies |
| 3 | Reforming the Personnel Security Clearance and Reciprocity Processes | 8 | Improving Financial Management |
| 4 | Improving Information Sharing and Mission Integration within the IC | 9 | Managing Acquisition Programs |
| 5 | Strengthening Cybersecurity Controls | 10 | Operating in Contingency and Critical Environments |



P R O D U C T S

AUDIT DIVISION

INSPECTIONS AND EVALUATIONS DIVISION

INVESTIGATIONS DIVISION

MISSION SUPPORT DIVISION

CENTER FOR PROTECTED DISCLOSURES

COUNSEL TO THE INSPECTOR GENERAL

Audit Division

The Audit Division's mission is to conduct independent and objective audits and reviews of ODNI programs and activities, including audits required by law. Audit Division activities improve business practices to better support the IC's mission; promote the economy, efficiency, and effectiveness of programs and operations throughout ODNI and the IC; and help reduce fraud, waste, abuse, and mismanagement. Audit work focuses on information technology and security, acquisition policies and practices, project management, business practices, human capital, personnel security, and financial management. Auditors assess whether

programs are achieving intended results and whether organizations are complying with laws, regulations, and internal policies in carrying out programs. Audit recommendations and engagements inform ODNI management's efforts to improve the efficiency and effectiveness of ODNI programs. The Audit Division collaborates with other federal agencies and departments to conduct joint reviews of IC programs and activities, perform peer reviews that assess quality controls, and discuss and address relevant topics of importance to the Inspector General community.

AUDIT DIVISION COMPLETED PROJECTS

During the reporting period, the Audit Division completed one project overseeing the financial statement audit for FY 2022.

AUD-2022-003: Independent Auditor’s Report on the Fiscal Year 2022 Audit of the Office of the Director of National Intelligence’s Financial Statements (November 2022)

The IC IG contracted with an independent public accounting firm (IPA) to audit the ODNI’s FY 2022 financial statements. The audit objective was to provide an opinion on whether the ODNI’s financial statements were presented fairly, in all material respects, and in accordance with generally accepted accounting principles (GAAP). The IC IG Audit Division provided oversight to ensure the IPA performed in accordance with professional and auditing standards, OMB requirements, and the terms of the contract.

The audit resulted in a disclaimer of opinion because the IPA was not able to obtain sufficient appropriate audit evidence to provide a basis for an audit opinion on the financial statements. In planning and performing the audit, the IPA considered the ODNI’s internal control over financial reporting as a basis for designing audit procedures as appropriate for expressing an opinion. The IPA did not express an opinion on the effectiveness of the ODNI’s

internal control, but reported three material weaknesses. This report included 14 recommendations.

During the audit engagement, the IPA also identified two matters involving internal control over financial reporting that were not considered material weaknesses but were important enough to merit management’s attention. The IPA communicated these matters and associated recommendations in the Independent Auditor’s Management Letter in December 2022.

Additional details may be found in the Semiannual Report Classified Annex.

**FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Financial Management**

AUDIT DIVISION
ONGOING PROJECTS

AUD-2020-001: Audit of Integrity and Use of Security Clearance Data Reported to the Office of the Director of National Intelligence

The DNI, as the Security Executive Agent, is responsible for assuring the quality, timeliness, consistency, and integrity of national security vetting practices. The IC IG Audit Division, along with the Offices of Inspector General for the Central Intelligence Agency, National Security Agency, and Department of State, audited data IC elements reported in response to the Security Executive Agent’s *Metrics Reporting Requirements for National Security Vetting in Fiscal Year 2018 and Beyond*. The audit objectives are to determine whether IC elements accurately captured, documented, and reported required security clearance processing timeliness information. The audit team completed field work and testing, and briefed preliminary results to ODNI officials. However, subsequent resource constraints and higher priority statutory project requirements delayed the schedule for issuing this report.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Reforming the Personnel Security Clearance Process

AUDIT DIVISION
ONGOING PROJECTS

AUD-2022-004: Fiscal Year 2022 Evaluation of the Defense Intelligence Agency, Office of the Inspector General

The IC IG is reviewing the Defense Intelligence Agency Office of Inspector General’s level of independence and effectiveness. The IC IG anticipates issuing this report during the third quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGE:
N/A

AUD-2023-001: Fiscal Year 2023 Independent Evaluation of the Office of the Director of National Intelligence’s Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014

The Audit Division initiated its annual independent evaluation of ODNI’s information security program and practices required by 44 U.S.C. § 3555 (commonly referred to as the Federal Information Security Modernization Act of 2014 or FISMA). The objective of the evaluation is to assess the effectiveness and maturity of ODNI’s information security and practices. The IC IG will use the FY 2023 Inspector General FISMA reporting metrics developed by the Office of Management and Budget, Department of Homeland Security, and the Council of the Inspectors General on Integrity and Efficiency.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Strengthening Information System Security and Management



AUD-2023-002: Assessment of and Joint Report on the Implementation of the Cybersecurity Information Sharing Act of 2015; and AUD-2023-003: Audit of Office of the Director of National Intelligence Implementation of the Cybersecurity Information Sharing Act of 2015

Under 6 U.S.C. § 1506 (commonly known as the Cybersecurity Information Sharing Act of 2015 or CISA), the Inspectors General of the Departments of Commerce, Defense, Energy, Homeland Security, Justice, and Treasury and the IC IG are required to jointly report to Congress on actions taken over the most recent two-year period to carry out the requirements of CISA.

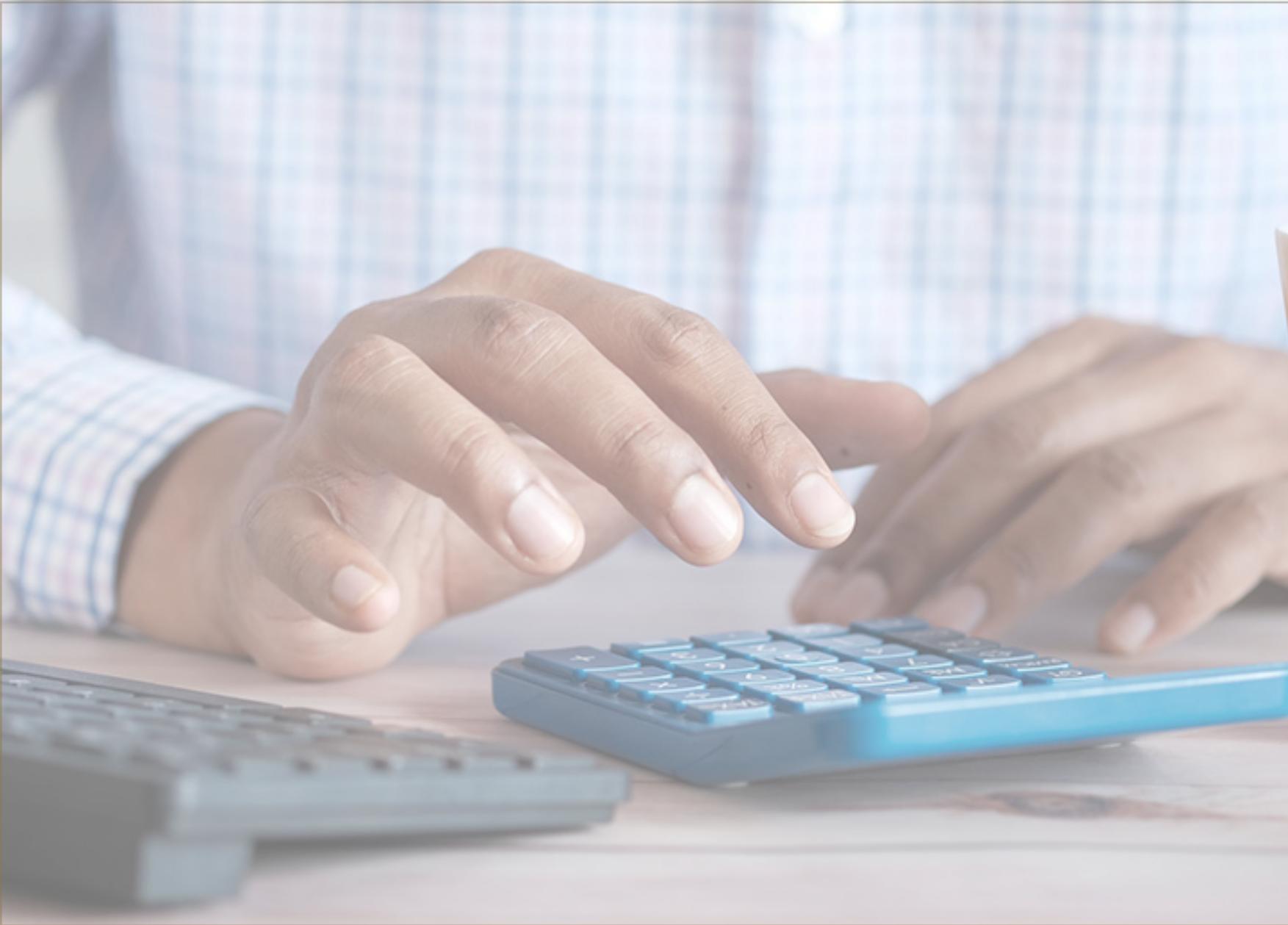
The IC IG will provide a joint report to Congress on actions taken during calendar years 2021 and 2022 and a separate report to ODNI on the results of the audit. Specifically, the reports will address, among other things, the sufficiency of policies and procedures related to sharing cyber threat indicators within the federal government; proper classification of cyber threat indicators and defense measures; and barriers to sharing information about cyber threat indicators and defensive measures.

**FY 2022 ODNI MANAGEMENT CHALLENGE:
Strengthening Information System Security and Management**

AUD-2023-004: Fiscal Year 2023 Audit of the Office of the Director of National Intelligence’s Financial Statements

The IC IG contracted with an independent public accounting (IPA) firm to perform the FY 2023 financial statement audit. The objective is to provide an opinion on whether ODNI’s financial statements are presented fairly, in all material respects, and in accordance with generally accepted accounting principles (GAAP). The IC IG Audit Division is providing oversight to ensure the IPA performs in accordance with professional and auditing standards, OMB requirements, and the terms of the contract.

**FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Financial Management**



Inspections and Evaluations

The Inspections and Evaluations Division (I&E) enhances IC IG's ability to conduct oversight of programs and activities within the DNI's responsibility and authority outside of traditional audit and investigative disciplines. I&E performs systematic and independent inspections and evaluations of ODNI and IC elements and their components using tailored approaches for determining efficiency, effectiveness, impact, and sustainability of agency operations, programs, or policies in accordance with CIGIE's *Quality Standards for Inspection and Evaluation* (Blue Book). I&E's multidisciplinary staff conducts expedited management and program evaluations and responds to priority issues of concern to the ODNI, the IC, Congress, and the public.

The division issues inspection, evaluation, and special review reports that contain evidence-based findings and recommendations to improve the efficiency and effectiveness of procedures and operations and to promote integration within the ODNI and across the IC.

INSPECTIONS AND EVALUATIONS DIVISION COMPLETED PROJECTS

INS-2022-009: Assessment of All-Source Cyber Intelligence Information Related to Foreign Cyber Threats

Pursuant to section 831 of the Intelligence Authorization Act for Fiscal Year 2022 (division X of Public Law 117–103), the IC IG, in coordination with the National Security Agency Office of the Inspector General and the Central Intelligence Agency Office of Inspector General, submitted a report to the congressional intelligence committees on the effectiveness of the IC with respect to the integration and dissemination of all-source intelligence relating to foreign cyber threats. The objectives of this review were to assess the effectiveness of: (1) the all-source cyber intelligence integration capabilities of the IC, including capability gaps relating to all-source integration, and deficiencies associated with timely dissemination of such intelligence; and (2) the IC in analyzing and reporting on cyber supply chain risks, including with respect to interagency coordination and the leadership of the Office of the Director of National Intelligence. The memorandum report submitted to the congressional intelligence committees contained no recommendations.

Additional details may be found in the Semiannual Report Classified Annex.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Policy and Procedure Development and Implementation

INS-2022-003: Special Review of Intelligence Community Support to Screening and Vetting of Persons from Afghanistan

I&E, in collaboration with the Defense Intelligence Agency Office of Inspector General and the Department of Homeland Security Office of Inspector General, is conducting a special review of the IC's support to screening and vetting of persons from Afghanistan. The IC IG anticipates issuing this report during the third quarter of FY 2023.

Similarly, I&E is in the beginning stages of coordinating with the Department of Homeland Security Office of Inspector General and the Department of State Office of Inspector General in their review of efforts to support and process evacuees from Afghanistan and the Afghanistan special immigrant visa program, as required by section 5275 of the James M. Inhofe National Defense Authorization Act for Fiscal Year 2023 (Public Law 117–263).

FY 2022 ODNI MANAGEMENT CHALLENGES:
Strengthening Information System Security and Management
Improving Policy and Procedure Development and Implementation

INSPECTIONS AND EVALUATIONS DIVISION
ONGOING PROJECTS

INS-2022-005: Review of the Intelligence Community’s Compliance with Analytic Tradecraft Standards

I&E is conducting an evaluation of the IC’s compliance with analytic tradecraft standards to determine the extent to which existing oversight structures are effective in safeguarding IC analytic products. The IC IG anticipates issuing this report during the fourth quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGES:
Strengthening Information System Security and Management
Improving Policy and Procedure Development and Implementation

INS-2023-004: Joint Evaluation of the Relationship between the National Security Agency and the United States Cyber Command

Pursuant to the letter from the Permanent Select Committee on Intelligence of the House of Representatives and the Select Committee on Intelligence of the Senate dated April 11, 2022, the Office of the Inspector General of the Intelligence Community Inspections and Evaluations Division, the Department of Defense Office of Inspector General (DoD OIG) and the National Security Agency Office of Inspector General (NSA OIG) will evaluate the relationship between the National Security Agency and the United States Cyber Command. The IC IG, in coordination with the DoD OIG and the NSA OIG, will produce a capstone report that aggregates the final reports of the DoD OIG and the NSA OIG. The IC IG anticipates completing this review during the second quarter of FY 2024.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Policy and Procedure Development and Implementation

INSPECTIONS AND EVALUATIONS DIVISION
ONGOING PROJECTS

INS-2023-003: Inspection of the Office of the Director of National Intelligence’s Compliance with the Payment Integrity Information Act of 2019 for Fiscal Year 2022

I&E is assessing ODNI’s compliance for FY 2022 with 31 U.S.C. §§ 3351-3358 (commonly referred to as the Payment Integrity Information Act of 2019). The IC IG will review and report on ODNI’s annual improper payment information included in the ODNI annual financial report and accompanying materials to determine compliance with the Payment Integrity Information Act of 2019. The IC IG anticipates issuing this inspection report during the fourth quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Financial Management

INS-2023-005: Evaluation of the Intelligence Community Elements’ Use of Space Certified as a Sensitive Compartmented Information Facility in the Continental United States

Pursuant to section 6607 of the Intelligence Authorization Act for Fiscal Year 2023 (division F of Public Law 117–263), I&E is conducting an evaluation on the utilization of space owned or sponsored by an IC element, located in the continental United States, that is certified as a sensitive compartmented information facility (SCIF) under IC or DoD policy. The evaluation will encompass the use of certified SCIF space owned or sponsored in 2022 by IC elements, including the use of empty or unused SCIF space. The IC IG anticipates issuing this evaluation report during the third quarter of FY 2023.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Policy and Procedure Development and Implementation

INSPECTIONS AND EVALUATIONS DIVISION ONGOING PROJECTS

INS-2023-006: Assessment of the Administration of Polygraphs in the Intelligence Community

Pursuant to section 6603 of the Intelligence Authorization Act for Fiscal Year 2023 (division F of Public Law 117–263), I&E is conducting an assessment of the administration of polygraph evaluations that are needed in the IC to meet current annual personnel hiring requirements. Specifically, the assessment will: (1) identify the number of polygraph examiners currently available at each IC element to meet its polygraph requirements for annual personnel hiring and determine whether the demand can be met; (2) if the demand cannot be met, identify the number of polygraph examiners that would need to be hired and certified to meet the polygraph demand associated with annual personnel hiring; and (3) review the effectiveness of alternatives to the polygraph, including methods being researched by the Defense Counterintelligence and Security Agency’s National Center for Credibility Assessment. The IC IG anticipates issuing this report during the first quarter of FY 2024.

FY 2022 ODNI MANAGEMENT CHALLENGE:
Improving Policy and Procedure Development and Implementation



Investigations Division

The Investigations Division conducts proactive and reactive criminal and administrative investigations, including those arising from complaints or information from any person concerning the existence of an activity within the authorities and responsibilities of the DNI constituting a violation of laws, rules, or regulations; or mismanagement, gross waste of funds, abuse of authority, or a substantial and specific danger to the public health and safety. The division also plays a principal role in tracking, monitoring, and investigating unauthorized disclosures of classified information. The division identifies and reports internal control weaknesses that could render ODNI or other IC programs and systems vulnerable to exploitation, or that could be used for illicit activity.

The Investigations Division's unique statutory authority to investigate programs and activities within the authorities and responsibilities of the DNI allows it to partner with, and provide assistance to, other Offices of Inspector General conducting investigations concerning IC programs and activities. In addition, the Investigations Division is able to coordinate and assist with the prosecution of criminal matters arising from the other intelligence agencies, including the Central Intelligence Agency (CIA), Defense Intelligence Agency (DIA), National Geospatial-Intelligence Agency (NGA), National Reconnaissance Office (NRO), and National Security Agency (NSA).

The IC IG's investigation activities conform to standards adopted by CIGIE.

INVESTIGATIONS DIVISION

KEY ACCOMPLISHMENTS



This reporting period, the Investigations Division participated in engagements across the federal government to enhance its investigative tradecraft and further IC IG’s mission. The Investigations Division worked closely with the Department of Treasury to obtain access to financial data to detect fraud and other illicit activities within the IC. Investigations Division personnel received training on the practices and procedures for accessing and using the information. The division also collaborated with multiple United States Attorney’s Offices and bank investigators as part of its counter fraud initiative.

Members of the Investigations Division collaborated with CIGIE’s Cyber Security and Civil Cyber Fraud Working Groups and attended monthly meetings with IC, DoD, and industry partners related to cyber-based cases and relevant technical security initiatives. In addition to providing the opportunity to learn about and directly collaborate on cyber-based issues, the working group meetings allowed the Investigations Division to serve as an information hub and share knowledge with multiple internal and external mission partners.

INVESTIGATIONS DIVISION

INVESTIGATIVE ACTIVITY OVERVIEW

Reprisal Investigations

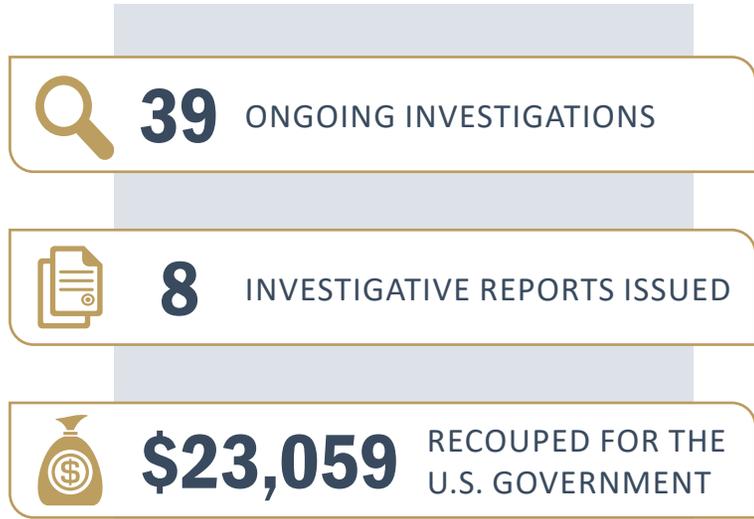
During this reporting period, the Investigations Division completed two investigations referred by the National Security Agency. Both involved allegations of reprisal and abuse of authority. The Investigations Division did not substantiate the allegations in either case. In addition to ongoing reprisal investigations, the Investigations Division received two recent complaints of reprisal this reporting period from the National Geospatial-Intelligence Agency and Central Intelligence Agency. These reprisal investigations are ongoing.

Joint Investigations

The Investigations Division continued its efforts on cross-Intelligence Community matters working seven joint investigations. The Investigations Division’s joint investigations involve potential offenses such as fraud, unauthorized disclosure, counterintelligence, intelligence oversight, procurement fraud, and contractor misconduct. Partners include IC Offices of Inspector General, the Department of Homeland Security, the Federal Bureau of Investigation, other federal investigative agencies, the Department of Justice Public Integrity Section and Antitrust Division, and the United States Attorney’s Office for the Eastern District of Virginia. Due to the size, scope, and complexity of these joint investigations, the division expects its investigative and support efforts to continue into the next reporting period.

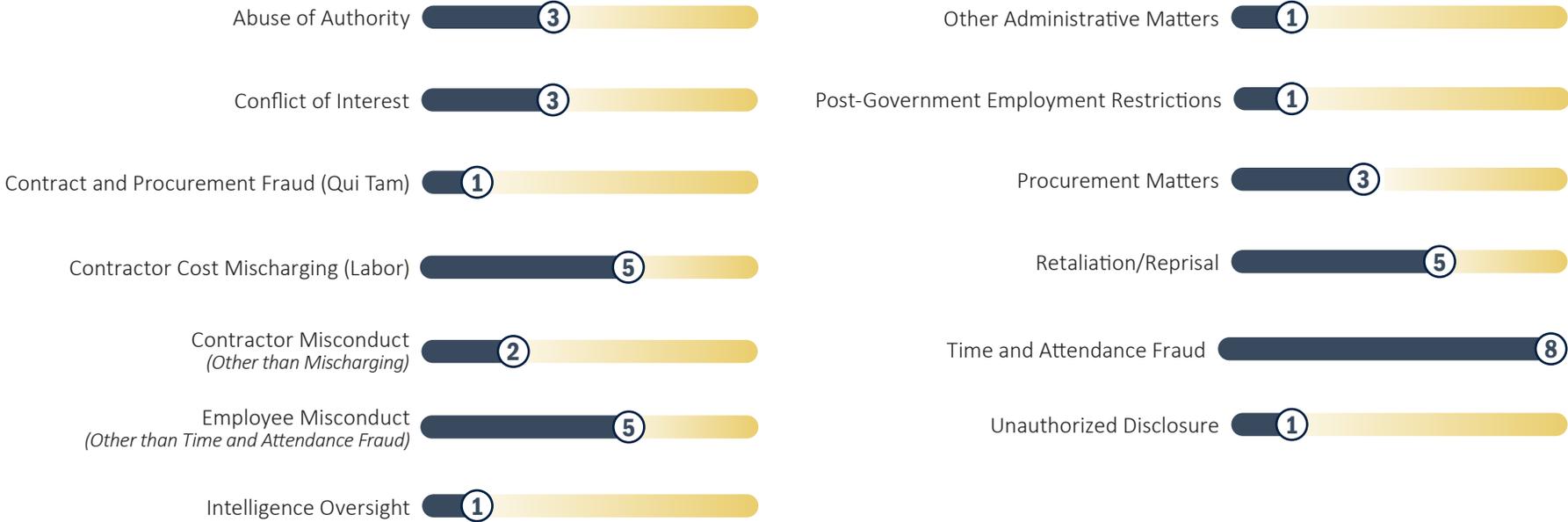
Ongoing and Complete Investigations

The Investigations Division has 39 open investigations and has issued 8 investigative reports this reporting period. Based on the investigative findings, the IC IG made a series of targeted recommendations to ODNI senior leadership designed to mitigate risk associated with these deficiencies. Subsequently, ODNI senior leadership issued guidance to the ODNI workforce to deter and prevent recurrence. The division also identified \$23,058.55 of funds lost to the U.S. Government for violations of 31 U.S.C. § 3729(a)(1)(B), *False Claims* and/or ODNI Instruction 73.04, *Time and Attendance Reporting*.



INVESTIGATIONS DIVISION

OPEN INVESTIGATIONS



39 Total Open Investigations

Source: Data contained in this report and table was obtained from the IC IG Case Management Tracking System.

The IC IG did not issue any subpoenas under its 50 U.S.C. § 3033(g)(5) authority during this reporting period.



INVESTIGATIONS DIVISION SUMMARIES OF PUBLISHED REPORTS

Abuse of Authority

On January 25, 2023, the IC IG completed its report of investigation into allegations that an ODNI management official abused authority by reassigning the complainant and other employees to a different Directorate within ODNI, and created a hostile work environment. The investigation also included allegations that the reassignment was reprisal for multiple protected disclosures. The investigation focused specifically on the abuse of authority and reprisal allegations, as the other allegations were addressed by ODNI components who had purview over those matters.

The investigation did not substantiate that the management official abused authority when the management official placed the complainant on an interim assignment to a different directorate. Instead, the investigation determined that the reassignment of employees was part of a broader reorganization effort to meet organizational needs. Although the management official did not follow the ODNI's process related to the temporary assignments, the management official had the authority to internally implement the group's rotations because of the emerging needs in directorates. In addition, all of the group members were granted an opportunity to self-select positions they wanted to support while the group was restructuring.

The IC IG investigation did not substantiate that the management official reprised against the complainant. The complainant alleged three personnel actions were taken as reprisal for four protected disclosures. The investigation determined the employee met the initial burden to demonstrate that the protected disclosures were a contributing factor for two personnel actions. However, clear and convincing evidence demonstrated the personnel actions in question would have occurred regardless of the protected disclosures. The decision to reorganize the component, to include the dissolution of a specific division, was based on the results of a Senate Select Committee on Intelligence audit. In addition, all reorganization decisions and personnel assignments were the result of normal management decisions and made with the complainant's voluntary concurrence.

INVESTIGATIONS DIVISION

SUMMARIES OF PUBLISHED REPORTS

Time and Attendance Fraud

On November 16, 2022, the IC IG completed its report of investigation regarding allegations that an ODNI employee engaged in time and attendance fraud by claiming hours not worked. Our investigation concluded that the employee violated 31 U.S.C. § 3729(a)(1)(B), *False Claims* and ODNI Instruction 73.04, *Time and Attendance Reporting* when the employee prepared, signed, and submitted timesheets totaling 215.25 hours for which there is no substantiation the employee actually performed work. The loss to the U.S. Government is approximately \$16,790.55. In addition, our investigation also concluded the employee violated ODNI Instruction 10.34, *Inspector General of the Intelligence Community* when the employee failed to “provide accurate, candid, complete, and forthcoming responses.” The employee’s assertions lacked credibility and almost all were uncorroborated and appeared implausible and fabricated. Recoupment of funds is pending.

Contractor Misconduct (Labor)

On March 13, 2023, the IC IG completed its report of investigation regarding allegations that four ODNI contractor employees engaged in labor mischarging by falsely claiming hours they did not work and violated the terms of the contract when they performed work at unauthorized locations.

The investigation did not substantiate allegations that the four contractor employees engaged in mischarging by falsely claiming hours they did not work. The Program Manager reviewed and validated the employees’ timecards against weekly activity reports and monthly activity projections and had no issues with the hours charged. In addition, the Contracting Officer’s Technical Representative (COTR) was abreast of the accomplishments, projected activities, hours charged to the contract, and the total invoice amount and had no issues with the hours charged.

The IC IG investigation did not substantiate that the contractor employees violated the terms of the contract by working at alternate locations. Although the complaint was filed in good faith, the complainant had limited knowledge or familiarity with the place of performance language in the contract Statement of Work (SOW), which authorized the contract employees to work from mutually agreed upon Alternate Work Locations (AWLs). Our investigation determined that the contract employees performed their work at AWLs that were approved by the Contracting Officer and COTR.

Although the contract employees were authorized to work from mutually agreed upon AWLs, the SOW did not provide a process or criteria for approval. In addition, there were no telework policies applicable to contractors. The lack of guidance created confusion about where contractor employees were allowed to work. Recommendations were made to ODNI leadership to mitigate risks and preclude reoccurrence. Response to recommendations is pending.



INVESTIGATIONS DIVISION
SUMMARIES OF PUBLISHED REPORTS

Contractor Misconduct (Labor)

On January 23, 2023, the IC IG completed its report of investigation regarding allegations that an ODNI contractor employee engaged in labor mischarging by using the Agency Internet Network (AIN) to conduct personal business while at work and by claiming hours not worked. It was also alleged that the contractor employee entered into full-time dual employment without ODNI approval. The investigation concluded the employee violated ODNI Instruction 93.04, *Limited Personal Use of Government Office Equipment* when the employee excessively accessed the AIN for personal use and in support of personal business ventures.

The investigation also concluded the employee violated 31 U.S.C. § 3729(a)(1)(B), *False Claims*; 18 U.S.C. § 287, *False, Fictitious or Fraudulent Claims*; 18 U.S.C. § 641, *Public Money, Property or Records*; and 18 U.S.C. § 1001, *Statements or Entries Generally* when the employee prepared and submitted timesheets to the contracting firm for hours the employee did not work. The loss to the U.S. Government due to the employee's fraudulent timesheets is \$6,268.00; recoupment is pending.

The investigation further concluded the employee violated Agency Regulation 13-11, *Outside Activities*, when the employee did not report nor obtain the requisite approvals for outside employment. The investigation determined the employee intentionally entered into dual full-time contractor employment with an industrial contractor, while concurrently working full time on contract to ODNI from approximately July 2018 through September 2021.

Finally, the investigation concluded the employee violated Security Executive Agent Directive 3, *Reporting Requirements for Personnel with Access to Classified Information or Who Hold a Sensitive Position*, by failing to report foreign travel on two occasions. While the employee could not recall the exact dates of travel, the employee admitted the travel did occur.

INVESTIGATIONS DIVISION

SUMMARIES OF PUBLISHED REPORTS

Reprisal

On January 24, 2023, the IC IG completed its report of investigation of alleged reprisal by a Defense Intelligence Senior Executive Service (DISES) employee at the NSA. Specifically, a complainant alleged the DISES employee threatened to post, and subsequently did post, their position as reprisal for protected disclosures regarding the DISES employee's inappropriate treatment of a subordinate. The investigation did not substantiate the reprisal allegations.

The investigation determined by a preponderance of the evidence that the alleged personnel actions occurred prior to the protected disclosures, and were not a contributing factor. Months before the protected disclosures, the complainant told the DISES employee that the complainant was leaving NSA, and there was a mutual understanding that the complainant's position needed to be posted. The decision to post the position was motivated by the complainant's imminent departure, not because of the protected disclosure. The investigation further determined that the DISES employee acted within the DISES employee's supervisory authority and within NSA's job posting and hiring processes when posting the position.

Reprisal

On January 24, 2023, the IC IG completed its report of investigation of alleged reprisal by a DISES employee at the NSA. The subject is the same employee reported in the previous summary. The complainant alleged that the DISES employee wanted to post the complainant's position in reprisal for the complainant's protected disclosures pertaining to an employee's misuse of a database and to the existence of a hostile work environment. The investigation did not substantiate the reprisal allegations.

The investigation determined by a preponderance of the evidence that the DISES did not take, or threaten to take, any personnel actions against the complainant. The DISES employee posted the complainant's position after the complainant voluntarily left the office and started a new outside position. Once the complainant left the office, the DISES employee did not have the statutory authority to take, recommend, or approve any personnel action. Additionally, the DISES employee's comments to the complainant suggesting "it was time to move on," without more, does not demonstrate an intent to remove the complainant from the position, and was not a threat of personnel action.



INVESTIGATIONS DIVISION

S U M M A R I E S O F P U B L I S H E D R E P O R T S

Contractor Misconduct (Computer Misuse)

On November 1, 2022, the IC IG completed its investigation into allegations of computer misuse by an ODNI contractor employee. Specifically, it was alleged that the contractor employee used the AIN to advance a personal business. It was also alleged the employee conducted an unauthorized disclosure of classified information using the AIN. The IC IG referred the unauthorized disclosure allegation to the ODNI Office of Counterintelligence and Security.

The investigation developed sufficient evidence to substantiate that the employee engaged in computer misuse by using the AIN to advance the employee's personal business, research the stock market, and conduct job searches. The contractor employee's actions violated ODNI Instruction 93.04, *Limited Personal Use of Government Office Equipment*. The investigation also concluded the employee failed to report the employee's personal business as required by Agency Regulation 13-11, *Outside Activities*.

Time and Attendance Fraud

On March 28, 2023, the IC IG completed its investigation into allegations of time and attendance fraud by an ODNI employee. The investigation determined the employee committed time and attendance fraud by falsely claiming hours worked as a government employee while simultaneously working and claiming the same hours as a contractor employee. The investigation also determined the employee fraudulently used Disabled Veterans Leave, falsely represented undergoing current medical treatment, made material false statements, and omitted information relevant to the employee's national security eligibility. Finally, the investigation also found the employee fraudulently used COVID-19 related leave, and failed to file an Outside Activities Form for outside employment. Response to recommendations is pending.

INVESTIGATIONS DIVISION

REPORTING OF UNAUTHORIZED PUBLIC DISCLOSURE OF CLASSIFIED INFORMATION

Under 50 U.S.C. § 3235, IC IG is required to submit to the congressional intelligence committees a semiannual report specifying the number of investigations IC IG opened regarding unauthorized public disclosure of classified information, the number of investigations IC IG completed regarding unauthorized public disclosure of classified information, and the number of completed investigations that IC IG referred to the Attorney General for criminal investigation.

In accordance with the statutory requirement, IC IG reports that during the period from October 1, 2022, to March 31, 2023, the IC IG did not initiate or complete any investigations of unauthorized public disclosures of classified information nor did it refer any such investigations to the Attorney General for criminal investigation during the reporting period.

In addition to tracking and mitigating potential Unauthorized Disclosures (UD) originating from within ODNI, the IC IG Unauthorized Disclosure Program (UDP) is involved in the continuous tracking of all UD incidents our IC mission partners report to the IC IG. The IC IG UDP engages in outreach and collaboration with ODNI's IC mission partners and tracks all reported UD issues in a dedicated database. The IC IG UDP is responsible for tracking all reported UD issues through their originating organization's closure and through Department of Justice investigation. IC IG UDP's collaboration with the National Counterintelligence and Security Center and other IC mission partners has led to the successful closure of numerous previously reported UDs. IC IG UDP's regular engagements with its partners have also improved the reporting of UDs across the IC. Reporting from some IC elements, however, has been inconsistent which has raised concerns about possible underreporting of UDs to IC IG. IC IG continues to engage with IC elements to help ensure full compliance with ICD 701's reporting requirements.



Mission Support Division

The Mission Support Division (MSD) provides management and administrative support to the Office of the Inspector General of the Intelligence Community. MSD comprises multidisciplinary officers with expertise in financial management, human capital and talent management, facilities and logistics management, continuity of operations, administration, classification reviews, Freedom of Information Act (FOIA) and Privacy Act requests, information technology, communications, and quality assurance. MSD also delivers executive support to the Intelligence Community Inspectors General Forum and its committees.

MSD provides a range of functional support, including strategy development, strategy performance oversight, internal management and alignment of resources to IC IG goals and priorities, implementation of cross-cutting business processes, management of support to the Forum, budget, personnel, contracts, security, information technology, facilities, logistics, quality assurance, information management, classification, FOIA operations, continuity of operations, and emergency preparedness. Additionally, MSD supports human capital and communications activities, including shaping and executing the office's human capital strategy, initiatives, and tactical plan, as well as all IC IG outreach activities, such as media engagements, strategic communications, corporate identity and brand management, and visual communications.



2023
INTELLIGENCE COMMUNITY
INSPECTORS GENERAL

INTELLIGENCE COMMUNITY INSPECTORS GENERAL CONFERENCE AND AWARDS PROGRAM

During this reporting period, the Mission Support Division, in coordination with the Conference Working Group comprised of Forum members, planned the annual Intelligence Community Inspectors General Conference and Awards Program. The nine members of the working group surveyed their OIG leadership and provided input regarding the conference format, theme, session topics, and speakers. This year’s conference was held April 12 through 13, 2023, and offered a hybrid-format with in-person and virtual attendance options. With “Independence” as its theme, the conference included panels and discussions on Inspector General independence, leadership perspectives, and other topics of common interest across the Inspector General community. Immediately following the conference, IC IG honored the recipients of the 2022 Intelligence Professional Awards. IC IG will provide more detailed information regarding these events in the next SAR.

BILATERAL EXCHANGE WITH AUSTRALIA ON RECRUITMENT AND RETENTION

In March 2023, Mission Support Division staff met with representatives from Australia’s Office of Inspector-General for Intelligence and Security (IGIS) to discuss best practices for workforce recruitment and retention. The IC IG and IGIS representatives discussed the value and use of climate surveys in measuring success and identifying growth areas, processes and best practices for highlighting and addressing workforce concerns, strategic approaches to recruitment and retention initiatives, and best practices for competing with the private sector for talent. The IC IG and IGIS representatives also shared pathways considered and actions taken to resolve challenges in recruiting and retaining employees.

MISSION SUPPORT DIVISION SPECIAL PROJECTS

The IC IG is committed to diversity, equity, inclusion, and accessibility. In support of IC IG’s commitment and the ODNI’s vision for an inclusive workplace that empowers employees to thrive, MSD hosted two Diversity, Equity, Inclusion, and Accessibility events during this reporting period.



SOUL FOOD SUNDAY

In February 2023, in recognition of Black History Month, the Mission Support Division hosted a “Soul Food Sunday” social for the IC IG workforce. The event featured the history and cultural significance of traditional Sunday soul food and provided historical facts and trivia related to black history. This event celebrated significant achievements by African Americans and provided the IC IG workforce with a time for recognizing the central role of African Americans in U.S. history, including in the areas of science and technology, government and public service, medicine, and higher education.



WOMEN IN OVERSIGHT SYMPOSIUM

To honor Women’s History Month in March 2023, MSD planned and executed a Women in Oversight Symposium for the intelligence oversight community. The event featured a panel of women in senior leadership roles in IC Offices of Inspector General (OIGs), to include the Principal Deputy Inspector General of the Intelligence Community, Inspector General for the Central Intelligence Agency, Deputy Inspector General for the National Reconnaissance Office, and Acting Inspector General for the Department of State. The panel discussed industry challenges, shared career and professional advice, and examined opportunities for women in the intelligence oversight community. The symposium garnered an audience of over 250 attendees from across the intelligence oversight community.

Center for Protected Disclosures

The IC IG's Center for Protected Disclosures (the Center) processes whistleblower disclosures and complaints and provides guidance to individuals about the options and protections afforded to those who wish to make protected disclosures to the IC IG and/or Congress, or who believe they suffered reprisal because they made a protected disclosure.

Whistleblowing is the lawful disclosure to an authorized recipient of information a person reasonably believes evidences wrongdoing. It is the mechanism to relay the right information to the right people to counter wrongdoing and promote the proper, effective, and efficient performance of the Intelligence Community's mission. Whistleblowing in the Intelligence Community is extremely important as it ensures that personnel can "say something" when they "see something" through formal reporting procedures without harming national security and without retaliation.



THE CENTER FOR PROTECTED DISCLOSURES PERFORMS FOUR CRITICAL FUNCTIONS FOR WHISTLEBLOWERS IN THE IC

1

The Center, through the IC IG Hotline program, receives and processes whistleblower complaints of fraud, waste, abuse, or mismanagement of programs and activities within the responsibility of the DNI. There are six ways for individuals to report their concerns to the IC IG Hotline: (1) public and secure telephone numbers; (2) public and secure websites; (3) walk-in meetings at the IC IG’s offices; (4) USPS mail; (5) fax; and (6) drop boxes in ODNI facilities.

6 WAYS TO REPORT CONCERNS TO THE IC IG HOTLINE



TELEPHONE



FAX



USPS MAIL



EMAIL/WEB



DROP BOX



WALK-IN

CENTER FOR PROTECTED DISCLOSURES

2

The Center, through the IC IG Hotline program, receives and processes allegations of “urgent concerns” pursuant to 50 U.S.C. § 3033(k)(5) (commonly known as the Intelligence Community Whistleblower Protection Act or ICWPA). The ICWPA established a process to ensure that the DNI and congressional intelligence committees receive disclosures regarding certain types of wrongdoing, including serious or flagrant problems, abuses, violations of law or Executive Order, or deficiencies relating to the funding, administration, or operation of an intelligence activity.

To submit an “urgent concern” to the IC IG, the law requires that a submitter be “[a]n employee of an element of the intelligence community, an employee assigned or detailed to an element of the intelligence community, or an employee of a contractor to the intelligence community.”² The ICWPA also requires that a submitter provide a complaint or information with respect to an “urgent concern,” which is defined as any of the following:

A serious or flagrant problem, abuse, violation of the law or Executive order, or deficiency relating to the funding, administration, or operation of an intelligence activity within the responsibility and authority of the Director of National Intelligence involving classified information, but does not include differences of opinions concerning public policy matters.

A false statement to Congress, or a willful withholding from Congress, on an issue of material fact relating to the funding, administration, or operation of an intelligence activity.

An action, including a personnel action described in 5 U.S.C. § 2302(a)(2)(A) constituting reprisal or threat of reprisal prohibited under 50 U.S.C. § 3033(g)(3)(B) in response to an employee’s reporting an “urgent concern” in accordance with this paragraph.³

If the IC IG determines that the complaint falls within the jurisdiction of the ICWPA, then the IC IG must, within 14 calendar days, determine whether the “urgent concern” allegation “appear[s] credible.” The Center tracks all ICWPA disclosures, ensures review of materials for classified information, and coordinates disclosures with other Offices of Inspector General for appropriate review and disposition.

² 50 U.S.C. § 3033(k)(5)(A).

³ 50 U.S.C. 3033 (k)(5)(G)(i)(I-III).

CENTER FOR PROTECTED DISCLOSURES

3

The Center adjudicates IC employee and contractor requests for the IC IG to review their allegations of reprisal under Presidential Policy Directive-19 (PPD-19), *Protecting Whistleblowers with Access to Classified Information*, and 50 U.S.C. §§ 3234 and 3341(j). These authorities prohibit acts of reprisal against employees and contractors serving in the IC, as well as those who are eligible for access to classified information, who make protected disclosures.

The Center also reviews other IC OIGs' determinations regarding whistleblower reprisal allegations and non-IC OIGs determinations regarding security clearances that are alleged to be in reprisal for making a protected disclosure. A whistleblower who has exhausted his or her home agency's whistleblower protection processes may request an external review panel (ERP). Under appropriate circumstances, the IC IG may convene an ERP and review the matter.

4

The Center conducts outreach and training across the IC to ensure stakeholders have accurate and consistent whistleblowing information relating to these and other matters. The Center provides guidance to personnel seeking more information about the options and protections afforded to individuals who may wish to make a protected disclosure to the IC IG and/or Congress, or who believe they have suffered reprisal because they made a protected disclosure.

CENTER FOR PROTECTED DISCLOSURES

ACTIVITIES DURING THIS REPORTING PERIOD

The Center routinely engaged with other Offices of Inspector General to collaborate on a wide range of topics, including specific complaints, document requests, information sharing, and information technology.

The Center received whistleblower disclosures, made referrals to other divisions and agencies, reviewed reports of “urgent concern,” and evaluated requests for ERPs.

The Center submitted to Congress two quarterly reports detailing the number, nature, and trends of complaints the IC IG Hotline processed in the fourth quarter of FY 2022 and the first quarter of FY 2023. These reports are part of an ongoing series of Hotline reports pursuant to the classified annex accompanying the Intelligence Authorization Act for Fiscal Year 2021 (division W of Public Law 116–260). The IC IG continues to refine its intake categories to capture more detailed information and enhance IC IG’s ability to identify potential trends and areas of concern based on Hotline reporting. The IC IG Hotline considers a “complaint” any contact in which an individual reports to the Hotline an allegation or information that potentially concerns a program or activity within the responsibility and authority of the Director of National Intelligence. The *IC IG Semiannual Report* includes information on all new “contacts” logged during the reporting period.⁴

The Center received and processed four “urgent concern” allegations. Three of the filings did not meet the ICWPA threshold for immediate reporting; however, the IC IG notified the DNI of the substance of the complaint under other authorities. The IC IG subsequently forwarded two of the three matters to the congressional intelligence committees on the filers’ behalf. After review, the IC IG determined that the remaining filing failed to establish the minimum urgency and credibility requirements for reporting under 50 U.S.C. § 3033(k)(5) and did not warrant reporting under other authorities.

⁴ A contact includes “complaints,” as defined above, and other matters received through the Hotline, such as suitability checks, requests for information, and other non-substantive, commercial, or frivolous contacts.

CENTER FOR PROTECTED DISCLOSURES

The Center, with the support of IC IG cadre, hosted an outreach event with informational tables at ODNI's Liberty Crossing and Intelligence Community Campus–Bethesda on November 16, 2022, in recognition of Fraud Awareness Week. This well-attended event elevated IC IG's accessibility and visibility and provided an opportunity for IC IG to engage with the workforce, answer questions, and offer general information about IC IG and the services it provides to the Intelligence Community.

On November 8, 2022, the Director, CPD and the Hotline Program Manager participated in the 2022 Annual Meeting of the Five Eyes Intelligence Oversight and Review Council (FIORC). During the "Whistleblowing and Complaint Processes" session, they presented IC IG's approach to managing Hotline operations and the application of IC IG's triage system for Hotline contacts with foreign counterparts.

As part of IC IG's continuing engagement with its community partners, on November 17, 2022, the Director, CPD presented a class on whistleblower complaint analysis and recent statutory changes to whistleblower law through CIGIE's Legal Refresher Training course in Annapolis, Maryland. The IC IG took the opportunity to engage with Inspector General professionals from across the federal government and looks forward to continuing this work in future offerings. Additionally, on March 22, 2023, the Director, CPD presented a similar class to students through National Intelligence University's "Law and Ethics in the Intelligence Community" course of instruction. This course provides IC IG the opportunity to engage directly with non-Inspector General senior managers and leaders concerning whistleblower matters and understanding the role of an OIG.

CENTER FOR PROTECTED DISCLOSURES

EXTERNAL REVIEW PANEL REQUESTS

Under 50 U.S.C. § 3236, individuals alleging being subjected to certain prohibited personnel practices may request the IC IG to convene an external review panel (ERP) to review their claim if they have otherwise exhausted the review process for that claim. The Center for Protected Disclosures received four new ERP requests during the reporting period⁵ and closed four requests. The Center conducts an initial assessment and review of materials submitted by both the complainant and the complainant’s employing agency prior to reaching a determination. In total, the Center has 10 ERP requests in various process stages. Six of those requests are undergoing initial assessment by IC IG. Two ERP requests are being held in abeyance by IC IG pending receipt of evidence files from the requestor. One ERP request is under consideration by Defense Intelligence Agency (DIA) OIG on behalf of the IC IG and one request is pending referral back to the local OIG for additional work. During this period there were no ERP determinations issued nor prior panel recommendations awaiting responses from the heads of agencies.

⁵ Specific reporting required under 50 U.S.C. § 3236(e).

4 ● NEW ERP REQUESTS⁵



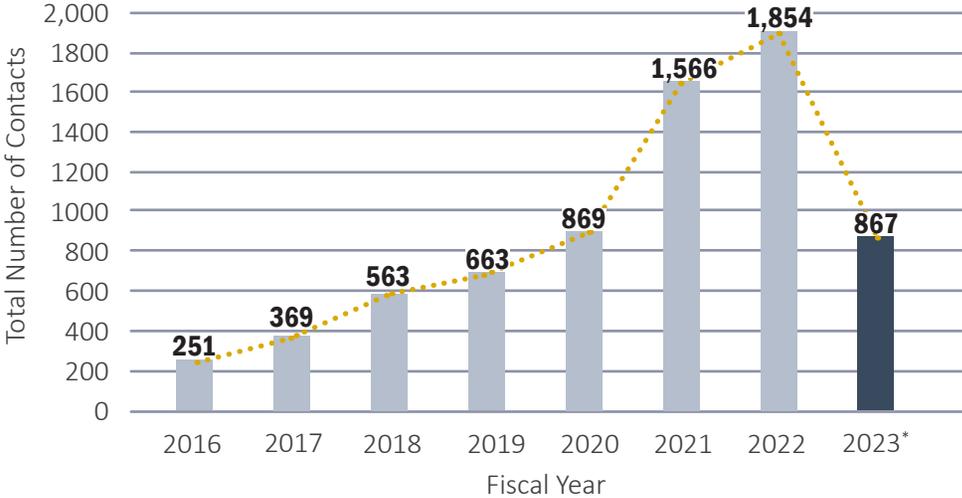
4 ● CLOSED ERP REQUESTS



IC IG HOTLINE METRICS: OCTOBER 1, 2022 - MARCH 31, 2023

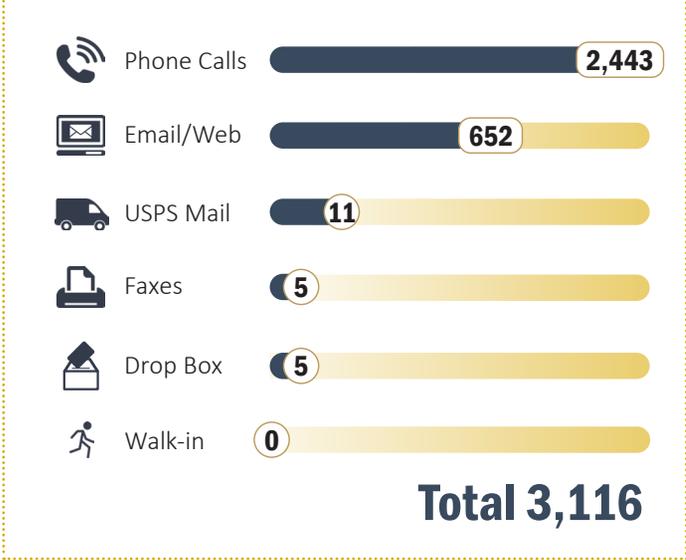
The IC IG Hotline provides a confidential means for Intelligence Community employees, contractors, and the public to report information concerning suspected fraud, waste, and abuse of programs and activities within the responsibility and authority of the Director of National Intelligence. The Hotline can be contacted via classified and unclassified email and phone lines, USPS mail, secure web submissions, walk-ins, and drop boxes located in select ODNI facilities.

(U) IC IG NEW CONTACTS LOGGED BY FISCAL YEAR



*Includes contacts logged from October 1, 2022, through March 31, 2023.

(U) METHODS OF CONTACT



4 "URGENT CONCERNS" INITIATED DURING THIS REPORTING PERIOD

4 EXTERNAL REVIEW PANELS (ERPs) INITIATED DURING THIS REPORTING PERIOD

IC IG HOTLINE
... Report suspected fraud, waste, and abuse.

OPEN: 855-731-3260
OPEN: WWW.DNI.GOV/ICIG

Counsel to the Inspector General

The Counsel team ensures that the Inspector General and other members of IC IG receive independent, confidential legal advice and policy counsel.

The Counsel team's legal support and guidance meets the unique needs of each IC IG division. To support the Investigations Division, the Counsel team, among other things, highlights and provides advice on potential legal issues that may require additional or redirected investigative efforts throughout the investigative process. To support the activities of the Audit Division and Inspections and Evaluations Division, the Counsel team identifies and interprets key policy, contract, and legal provisions relevant to reported observations, findings, and recommendations. In support of IC IG's whistleblower program, the Counsel team assists

the Center for Protected Disclosures in developing policies and procedures, evaluating whistleblower disclosures, and providing legal advice for external review panels. The Counsel team also provides enterprise-wide legal and policy guidance and reviews matters related to IC IG personnel, administration, training, ethics, independence, and budgetary functions. Attorneys from the Counsel team also participate in the Intelligence Community Inspectors General Forum, the Forum's Counsels Committee, CIGIE's Legislative and Counsels Committees, and working groups of the Five Eyes Intelligence Oversight and Review Council.

In addition to its legal support role, the Counsel to the Inspector General serves as the IC IG's congressional liaison.

COUNSEL TO THE INSPECTOR GENERAL



REQUESTS AND INQUIRES

- During this reporting period, the IC IG responded to a variety of requests and inquiries from the congressional intelligence committees and congressional staff to address questions regarding pending legislation; proposed or completed IC IG audits, reviews, and inspections; and the resources required to meet the IC IG’s statutory responsibilities. The Counsel team also evaluated and facilitated communications with Congress regarding complaints made pursuant to the ICWPA.
- To support IC IG, the Counsel team assisted with responses to requests for information and other inquiries from the U.S. Government Accountability Office and other Inspector General offices related to projects broadly relevant to IC oversight.
- The Counsel team convened the Forum’s Counsels Committee to discuss policies and best practices in handling internal OIG personnel matters in a manner that ensures independence, fairness, and efficiency. The Counsels Committee also met to discuss matters related to the joint implementation of several congressionally directed actions. This Forum Counsels Committee engagement was the first in a series of in-person meetings regarding issues of common concern to Forum members.
- The Counsel team also coordinated with ODNI components to establish and enhance IC IG personnel policies that reflect IC IG’s core values and which comply with, and more fully implement, the Inspector General’s statutory personnel authorities.

PARTNERSHIPS

IC IG Management Advisory Committee

WORKFORCE INITIATIVES

The IC IG Management Advisory Committee (MAC) is an employee-driven committee that fosters communication between IC IG leadership and the workforce. The MAC’s mission is to advance IC IG’s core values, promote employee engagement, and enhance the workplace environment. The committee meets monthly to consider opportunities to increase employee engagement, guide process improvement and office innovation, and strengthen IC IG’s ability to efficiently and effectively achieve its mission. The MAC provides IC IG leadership with recommendations to address workforce-identified issues and assists IC IG leadership in communicating, planning, and carrying out initiatives resulting from MAC initiatives and recommendations.

During this reporting period, the MAC engaged on topics related to the new Exceptional Service Achievement Award process, 2022 ODNI Employee Climate Survey results, and reaffirming the oath of office. The committee hosted the first in a year-long series of workforce engagements with the Inspector General and Principal Deputy Inspector General. The committee is reinstating the IC IG newsletter to foster workforce community, and initiated participation in IC IG staff orientation to introduce its mission to new staff. The MAC also developed the MAC Certificate of Appreciation awarded to IC IG employees who demonstrate IC IG’s core values in support of the committee and workforce. This reporting period, the MAC established an official seal and banner to promote committee recognition.



INTELLIGENCE COMMUNITY INSPECTORS GENERAL FORUM

One of the most significant ways the Inspector General of the Intelligence Community works to improve integration of the IC is through the Intelligence Community Inspectors General Forum (the Forum). Pursuant to 50 U.S.C. § 3033(h)(2), the Forum consists of statutory or administrative Inspectors General with oversight responsibility for an element of the IC. The IC IG is the Chair of the Forum.

Through the Forum, members can learn about the work of individual members that may be of common interest and discuss matters related to jurisdiction or access to information and staff. As Chair, the IC IG leads the Forum by coordinating efforts to find joint solutions to mutual challenges for improved integration among the Forum members. Forum committees, topic-specific working groups, and subject matter experts generate ideas to address shared concerns and mutual challenges for consideration and decision by the Inspectors General.



Office of the Inspector General of the Intelligence Community



Central Intelligence Agency
Office of Inspector General



Defense Intelligence Agency
Office of the Inspector General



Department of Defense
Office of Inspector General



Department of Energy
Office of Inspector General



Department of Homeland Security
Office of Inspector General



Department of Justice
Office of the Inspector General



Department of State
Office of Inspector General



Department of the Treasury
Office of Inspector General



National Geospatial-Intelligence Agency
Office of the Inspector General



National Reconnaissance Office
Office of Inspector General



National Security Agency
Office of the Inspector General

INTELLIGENCE COMMUNITY INSPECTORS GENERAL FORUM MEETING SUMMARIES

THE FORUM

The Forum held quarterly meetings in December 2022 and February 2023. The December session included a briefing from IC IG’s Assistant Inspector General for Audit on changes to Inspector General audit requirements related to 44 U.S.C. § 3555 (commonly known as the Federal Information Security Modernization Act of 2014 or FISMA). Additionally, each Inspector General was offered an opportunity to reflect on work conducted in 2022 and share anticipated projects to commence in 2023. The Forum also shared reflections on the 2022 annual meeting of the Five Eyes Intelligence Oversight and Review Council (FIORC).

The Forum assembled in February to discuss transparency and ways in which Forum members can best share information with the public while still protecting national security. The IC IG’s Deputy Counsel provided the Forum with a legislative update, to include provisions of the Intelligence Authorization Act for Fiscal Year 2023 (division F of Public Law 117–263), and members discussed the new congressionally directed actions.

The National Reconnaissance Office also provided an update on the Inspector General community’s forthcoming project-based Joint Duty initiative pilot program. The group also discussed planning efforts for the annual Intelligence Community Inspectors General Conference and Awards Ceremony, as well as the new and continuing FIORC working groups.



The next Forum meeting is scheduled for May 2023.

DEPUTIES COMMITTEE

The Deputies Committee, led by the Principal Deputy Inspector General, met twice during the reporting period. In November 2022, the National Reconnaissance Office provided an update on the Joint Duty initiative specifically tailored to personnel within the Office of Inspector General community. The Deputies also discussed balancing post-pandemic telework with the need for accountability. Additionally, each Deputy was offered an opportunity to reflect on work conducted in 2022 and share anticipated projects to commence in 2023.

The Deputies Committee convened again in February 2023. The IC IG’s Assistant Inspector General for Mission Support updated the group on activities of the Management and Administration Committee, and the Chair of the Information Technology Subcommittee provided an update on its activities. The Chair of CIGIE’s Oversight.gov Subcommittee briefed the Deputies on the capabilities and planned enhancements to Oversight.gov, and the Deputies discussed how to further promote transparency in their work. The Deputies also discussed joint work and the utility of developing a common approach or standardized processes for conducting joint work.

Lastly, the Deputies revisited their previous discussion on telework and shared best practices for developing telework policies and managing a virtual workforce.



The next Deputies Committee meeting is scheduled for May 2023.

COMMITTEES, SUBCOMMITTEES, AND WORKING GROUPS



AUDIT COMMITTEE

The Audit Division hosted the Forum’s Audit Committee Cybersecurity Subcommittee quarterly meetings to discuss multiple topics of interest. At the December 2022 virtual meeting, members received an update to FISMA requirements, specifically on potential changes to calculating the scoring. At the March 2023 virtual meeting, members received an informative brief on the modernization of the Joint World-Wide Intelligence Communications System.

The next Forum Audit Committee quarterly meeting is scheduled for June 2023.



COUNSELS COMMITTEE

The Forum’s Counsels Committee meets regularly to discuss legal and policy issues of common interest to the IC and to promote the consistent interpretation of statutes, regulations, policies, and Executive Orders. The Counsels Committee operates with the goal of providing legal analysis of, and options relating to, issues of particular importance to the Forum. During this reporting period, the Counsels Committee discussed and collaborated on key initiatives, including a review of the recently passed James M. Inhofe National Defense Authorization Act for Fiscal Year 2023 (Public Law 117–263), which includes the Intelligence Authorization Act for Fiscal Year 2023 as division F of

the law; responding to and advancing congressionally directed actions; a review of policies and practices for handling internal OIG personnel matters in a manner that ensures independence, fairness, and efficiency; and addressing other important matters of common interest.

The next Forum Counsels Committee quarterly meeting is scheduled for May 2023.



COMMITTEES, SUBCOMMITTEES, AND WORKING GROUPS



INSPECTIONS COMMITTEE

The Forum’s Inspections Committee is chaired by the IC IG Assistant Inspector General for Inspections and Evaluations and the Department of Energy Assistant Inspector General for Inspections serves as the Vice Chair. The Committee met on March 30, 2023, to discuss ongoing projects, opportunities for future joint or concurrent work, best practices, and lessons learned. In addition, the NGA OIG presented on its transformative information technology integration plan and DIA OIG on its strategic resource framework. The agenda also included an additional presentation from IC IG on branding the I&E discipline, and Department of Energy OIG led a discussion on challenges and best practices related to onboarding.

There are two established working groups within the Inspections Committee. The Classification Review Working Group continued its commitment to ensure uniformity in the presentation of IC OIG reports. The working group’s accomplishments include achieving consensus on definitions and criteria, and establishing a common approach for developing objectives, scope, and methodology. In light of recent statutory amendments, the Inspections Committee decided to continue the working group meetings to share information and collaborate on inspections approaches. The Inspections Committee also established the Enhanced Personnel Security Program Working Group to address requirements under 5 U.S.C. § 11001. The working group provides a collaborative working-level

forum for the sharing of information and to enhance collaboration opportunities. The working group’s accomplishments include the sharing of inspection approaches and interpretations of performance standards, policies, and procedures. In addition, the Chair accepted requests from OIGs across the federal government to join the working group to benefit from the information shared by working group members. The group will continue to discuss other opportunities for harmonization of inspection and evaluation reporting across the IC OIG community.

The next Forum Inspections Committee quarterly meeting is scheduled for June 2023.



COMMITTEES, SUBCOMMITTEES, AND WORKING GROUPS



INVESTIGATIONS COMMITTEE

In December 2022, the Forum’s Investigations Committee, led by the IC IG Assistant Inspector General for Investigations, hosted agents from the Internal Revenue Service, who briefed the responsibilities of the Pandemic Response Accountability Committee and the benefits of participating in the Suspicious Activity Report teams. The brief also reinforced the government’s commitment to using all available tools to hold wrongdoers accountable.

On March 28, 2023, the committee again discussed section 5334 of the Damon Paul Nelson and Matthew Young Pollard Intelligence Authorization Act for Fiscal Years 2018, 2019, and 2020 (division E of Public Law 116–92; 50 U.S.C. § 3033 note), which mandates that the IC IG, in consultation with the Forum, establish a system whereby IC IG is notified in near-real time of: (1) submission of complaints by whistleblowers to Inspectors General of elements of the IC relating to the programs and activities under the jurisdiction of the DNI, and information related to such complaints; and (2) actions taken by an Inspector General of an element of the IC relating to such complaints. The IC IG Hotline Program Manager briefed the group on the mechanics of the submission portal and the associated reporting requirements and procedures. The conversation also included discussions on lessons learned, protecting sensitive information, and the purpose of the information being collected.

The next Forum Investigations Committee quarterly meeting is scheduled for June 2023.



COMMITTEES, SUBCOMMITTEES, AND WORKING GROUPS



MANAGEMENT AND ADMINISTRATION COMMITTEE

In December 2022 and March 2023, the IC IG Assistant Inspector General for Mission Support hosted productive Forum Management and Administration Committee meetings. The December meeting included an overview of the 2022 annual FIORC meeting, as well as a discussion on planning the 2023 Intelligence Community Inspectors General Conference and Awards Ceremony. The members also shared best practices and lessons learned from 2022. The meeting in March 2023 featured an overview of CIGIE, to include its mission, structure, and objectives, led by former CIGIE Chair and the Inspector General of the Department of Justice; and a second presentation on the efforts of CIGIE’s Diversity, Equity, Inclusion, and Accessibility (DEIA) Committee led by the DEIA Committee Chair and Inspector General of the Department of Education.



The next Forum Management and Administration quarterly meeting is scheduled for June 2023.



COMMITTEES, SUBCOMMITTEES, AND WORKING GROUPS



INFORMATION TECHNOLOGY SUBCOMMITTEE

The Mission Support Division hosted the Forum’s Information Technology Subcommittee quarterly meetings in November 2022 and February 2023 respectively. In November, the Office of Innovation within the Department of Homeland Security OIG demonstrated its Report Tracking System. The Subcommittee continues its pursuit to provide OIG Project and Recommendation Tracking options to the Deputies Committee. In February, the Subcommittee furthered its discussion for an unclassified common collaborative environment that would allow IC OIGs to have a repository to share documents. The Subcommittee also began discussions for the development of a strategic plan.

The next Forum Information Technology Subcommittee quarterly meeting is scheduled for May 2023.



RESOURCE INDEPENDENCE WORKING GROUP

At the request of the Deputies Committee, the Mission Support Division established the Forum’s Resource Independence Working Group in February 2023. The initial members of the working group, representatives from IC IG, CIA OIG, DIA OIG, NGA OIG, NRO OIG, and NSA OIG, met to discuss the goals and scope of the working group, as well as current levels of resource and budget independence. Members agreed that the goal of the working group will be to benchmark levels of resource independence within the community and discuss challenges, dependencies, and best practices related to budget and resource management.



In March 2023, the working group assembled for a second meeting. DoD OIG presented the working group with its best practices and lessons learned related to resource independence, to include DoD OIG initiatives in the areas of information technology, human resources, and budget independence. DoD OIG highlighted the importance of building relationships with agency leadership and educating those leaders on the statutory importance of Inspector General independence.

The next Forum Resource Independence Working Group quarterly meeting is scheduled for April 2023.



COMMITTEES, SUBCOMMITTEES,
AND WORKING GROUPS

THE CENTER FOR PROTECTED DISCLOSURES LEADS TWO IC IG FORUM WORKING GROUPS



IC HOTLINE
WORKING GROUP

On March 16, 2023, the Center for Protected Disclosures hosted an IC Hotline Working Group meeting. The working group meets semiannually and is composed of members from Offices of Inspector General from the CIA, DIA, NGA, NSA, NRO, and IC IG. Topics discussed during the meeting related to the status of the IC consolidated reporting system for whistleblower complaints, a discussion of when an IC OIG identifies a conflict, and classification review process and confidentiality.



WHISTLEBLOWER
WORKING GROUP

The Center for Protected Disclosures broadened its whistleblower outreach during this period by participating in Forum and working group discussions. Specifically, the Center participated in the Hotline Working Group, and Investigations and Counsel’s Forums. The Director, CPD also engaged with working group partners one-on-one regarding application of recent statutory changes and provided copies of investigatory templates for report formats. The next working group meeting is scheduled for June 2023, and will discuss tentative conclusion letters, Carr factor analysis, and actions affecting security clearances and access determinations.

PEER REVIEW

Audit

The Audit Division led a joint team conducting an external peer review of the CIA OIG, Office of Audits' system of quality control and issued the System Review Report in March 2023. The IC IG Audit Division will receive an external peer review led by the DIA OIG expected to begin in April 2023. External Peer reviews of audit organizations are performed in accordance with generally accepted government auditing standards and CIGIE peer review guidelines.

Inspections and Evaluations

As Chair of the IC IG's Inspections Committee, the IC IG Assistant Inspector General for Inspections and Evaluations serves as the peer review coordinator for six Intelligence Community Offices of Inspector General to ensure adherence to the CIGIE *Quality Standards for Inspection and Evaluation*. The Chair coordinated peer review schedules with applicable committee members, facilitated preparations for NSA and NRO OIGs to be peer reviewed, and IC OIG Assistant Inspector Generals for Inspections and Evaluations-nominated personnel to support external peer reviews.

Investigations

During this review period, the Investigations Division completed its support in the review of the DIA OIG and NSA OIG investigation programs consistent with CIGIE's *Quality Standards for Investigations*. The Investigations Division will begin its support of DIA OIG's review of NGA OIG's investigation program in the third quarter. As Chair of the IC IG's Investigations Committee, the IC IG Assistant Inspector General for Investigations continues to serve as the peer review coordinator for the Intelligence Community OIGs investigations programs.

FIVE EYES INTELLIGENCE OVERSIGHT AND REVIEW COUNCIL

The Five Eyes Intelligence Oversight and Review Council (FIORC) comprises the non-political intelligence oversight, review, and security entities of the Five Eyes countries: the Office of the Inspector-General of Intelligence and Security (Australia); the Office of the Intelligence Commissioner and National Security and Intelligence Review Agency (Canada); the Office of the Inspector-General of Intelligence and Security (New Zealand); the Investigatory Powers Commissioner’s Office (United Kingdom); and the Office of the Inspector General of the Intelligence Community (United States). The FIORC members exchange views of mutual interest and compare best practices in review and oversight methodology.

The members of FIORC hold conference calls throughout the year, but gather in person annually, with the host country rotating among the five members.

The United States hosted the 2022 annual FIORC meeting in multiple locations across the Washington, D.C., area from November 7 to November 10, 2022.

The Inspector General of the Intelligence Community, along with the Inspectors General from the Department of Defense, Department of Justice, Department of the Treasury, Department of Homeland Security, Central Intelligence Agency, Defense Intelligence Agency, National Reconnaissance Office, National Geospatial-Intelligence Agency, and National Security Agency, attended the meeting, as



did members of the Intelligence Community Inspectors General Forum.

The 2022 annual FIORC meeting highlighted the themes of oversight resilience, information sharing, and transparency. Throughout the meeting, participants shared overviews of key issues facing their organizations: conducting oversight in a critical environment and in an environment of change; raising public awareness of the oversight mission; communicating with government entities; managing whistleblowing and complaint processes; and executing work programming and methodology. In addition, the U.S. delegation briefed the FIORC members on the U.S. model for oversight coordination, providing overviews of the CIGIE and the Forum.

FIORC ANNUAL MEETING OVERVIEW

- 4** Four-day event hosted at four locations: ODNI, DoD, CIA, and NSA
- 38** U.S. Inspector General community leaders in attendance (including 8 Inspectors General)
- 14** members of the international FIORC delegations in attendance
- 12** participating IC Inspector General offices
- 6** key cultural and national security sites toured: U.S. Capitol, CIA and the National Cryptological Museums, Pentagon, and the NCTC and NSA Operations Centers
- 3** IC-agency senior leaders provided insight, including the Principal Deputy Director of National Intelligence

THE INSPECTOR GENERAL COMMUNITY

More than forty years ago, President Jimmy Carter signed the Inspector General Act of 1978 and described the new statutory Inspectors General as “perhaps the most important new tools in the fight against fraud.” The Inspector General of the Intelligence Community, one of 74 Inspectors General collectively overseeing the operations of nearly every aspect of the federal government, looks forward to continuing to work with CIGIE on important issues that significantly affect productivity, transparency, and accountability throughout the federal government.

The Inspector General is personally engaged in various CIGIE activities, serving as the Vice Chair of the Integrity Committee and as a member of the Budget Committee and the Diversity, Equity, Inclusion, and Accessibility Committee. Members of the IC IG workforce participate in various CIGIE committees and activities, to include the Legislation Committee, Technology Committee, Inspection and Evaluation Roundtable, and Cybersecurity Working Group, among others.

SORT. SEARCH. FILTER

Oversight.gov allows users to sort, search, and filter the site’s database of public reports from all CIGIE-member OIGs to find reports of interest.

Oversight.gov

On behalf of the federal Inspector General community, CIGIE manages Oversight.gov, a “one stop shop” to follow the ongoing oversight work of all OIGs that publicly post reports. The IC IG, like other OIGs, posts reports to its own website, as well as to Oversight.gov, to afford users the benefits of the website’s search and retrieval features. Oversight.gov allows users to sort, search, and filter the site’s database of public reports from all CIGIE-member OIGs to find reports of interest. In addition, the site features a user-friendly map that allows users to find reports based on geographic location and obtain contact information for each OIG’s hotline. Users can receive notifications when new reports are added to the site by following CIGIE’s Twitter account, @OversightGov.

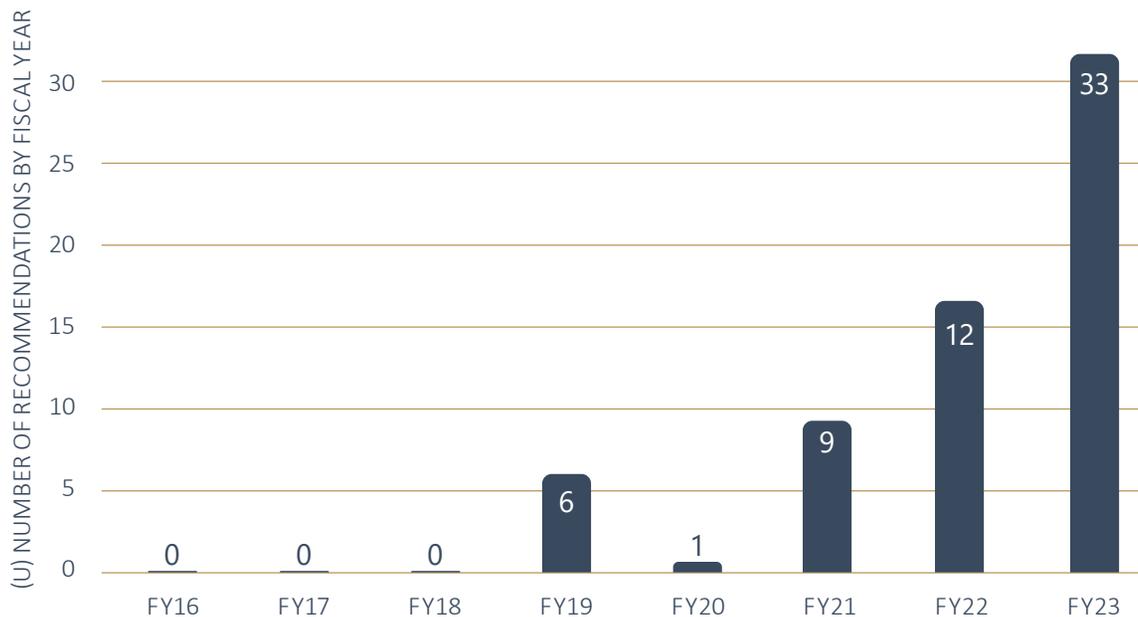
STATISTICAL DATA



RECOMMENDATIONS

Following publication of a report, the IC IG divisions interact on a minimum quarterly basis with the responsible ODNI officials (or other organization officials, as appropriate) to ensure the agency is taking actions to implement report recommendations. The IC IG personnel document the responsive actions taken into the IC IG’s recommendations tracking database. The IC IG division leadership approves the closure of a recommendation once it has been demonstrated that responsive actions meet the intent of a recommendation.⁶ The divisions may revisit closed recommendations to ensure actions are fulfilled or to inform follow-on reviews.

NUMBER OF UNIMPLEMENTED RECOMMENDATIONS BY YEAR



⁶ In some cases, responsive actions deviate from the original IC IG recommendation because responsible ODNI officials may revise their plan of corrective action. In those cases, responsive actions may not align directly with the original recommendation, but IC IG may determine the actions still meet the intent of the recommendation.

RECOMMENDATIONS TABLE

REPORT NAME	DATE ISSUED	TOTAL ISSUED	NEW THIS PERIOD	CLOSED IN PRIOR SAR PERIODS	CLOSED THIS PERIOD	CURRENTLY OPEN
FY 2023						
Audit: Independent Auditor’s Report on the Fiscal Year 2022 Audit of Office of the Director of National Intelligence’s Financial Statements	NOV	16	16	0	0	16
Investigation: Time and Attendance Fraud	NOV	7	7	0	4	3
Investigation: Contractor Mischarging (Labor)/Misconduct	JAN	3	3	0	0	3
Investigation: Contractor Mischarging	MAR	3	3	0	0	3
Investigation: Time and Attendance Fraud	MAR	8	8	0	0	8
FY 2022						
Audit: FY 2021 Independent Evaluation of the Office of the Director of National Intelligence’s Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014	OCT	4	0	3	0	1
Inspection: Evaluation of Intelligence Community Implementation of Security Clearance Reciprocity	OCT	3	0	0	3	0
Inspection: Review of Intelligence Community Analytic Ombudspersons’ Complaint Processes	DEC	1	0	0	0	1
Investigation: Contractor Misconduct ^a	FEB	6	0	2	0	4
Investigation: Time and Attendance Fraud ^a	MAR	5	0	0	4	1

^aThis report contained recommendations related to sensitive administrative personnel actions that were not counted in the recommendations tables of previous semiannual reports. These recommendations have been counted in this table.

RECOMMENDATIONS TABLE

REPORT NAME	DATE ISSUED	TOTAL ISSUED	NEW THIS PERIOD	CLOSED IN PRIOR SAR PERIODS	CLOSED THIS PERIOD	CURRENTLY OPEN
<i>FY 2022 continued</i>						
Inspection: Review of the Office of the Director of National Intelligence's Pandemic Preparedness and Response	JUN	3	0	0	1	2
Investigation: Conflict of Interest ^a	SEP	3	0	1	1	1
Investigation: Other Administrative Matters (USERRA) ^b	AUG	5	0	0	3	2
^a This report contained recommendations related to sensitive administrative personnel actions that were not counted in the recommendations tables of previous semiannual reports. These recommendations have been counted in this table.						
^b This report contained one recommendation that was unintentionally omitted from the count in the recommendations tables of previous semiannual reports. This recommendation has been counted in this table.						
<i>FY 2021</i>						
Inspection: Evaluation of the Intelligence Community Foreign Language Program	AUG	9	0	0	0	9
<i>FY 2020</i>						
Audit: FY 2019 Independent Evaluation of the Office of the Director of National Intelligence's Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014	OCT	2	0	1	0	1
Audit: Office of the Director of National Intelligence's Government Travel Card Program	JUL	11	0	9	2	0

RECOMMENDATIONS TABLE

REPORT NAME	DATE ISSUED	TOTAL ISSUED	NEW THIS PERIOD	CLOSED IN PRIOR SAR PERIODS	CLOSED THIS PERIOD	CURRENTLY OPEN
FY 2019						
Inspection: The Cyber Threat Intelligence Integration Center (CTIIC), Office of the Director of National Intelligence	JAN	9	0	7	2	0
Audit: FY 2018 Independent Evaluation of the Office of the Director of National Intelligence’s Information Security Program and Practices, as Required by the Federal Information Security Modernization Act of 2014	FEB	11	0	4	4	3
Audit: Management of Privileged Users of Office of the Director of National Intelligence Information Systems	SEPT	9	0	7	0	2
Audit: Office of the Director of National Intelligence’s Fiscal Year 2018 Conference Spending	SEP	2	0	1	0	1
FY 2018						
Audit: Memorandum to the Chief Operating Officer – Charge Card Program	AUG	2	0	1	1	0
Inspection: Assessment of IC Freedom of Information Act (FOIA) Programs	SEP	10	0	9	1	0
FY 2016						
Audit: Independent Auditor’s Report on the Office of the Director of National Intelligence Fiscal Year 2015 Financial Statements ^c	NOV	15	0	9	6	0

^c Audit performed by Central Intelligence Agency OIG; IC IG assumed responsibility in FY 2022 for following up on open recommendations when IC IG assumed responsibility for ODNI financial statements audits.

RECOMMENDATIONS TABLE

	TOTAL ISSUED	NEW THIS PERIOD	CLOSED IN PRIOR SAR PERIODS	CLOSED THIS PERIOD	CURRENTLY OPEN
TOTALS	147	37	54	32	61

ABBREVIATIONS AND ACRONYMS

AIN.....Agency Internet Network
 AWL.....Alternate Work Location
 The CenterCenter for Protected Disclosures
 CIA Central Intelligence Agency
 CIGIE..... Council of the Inspectors General on Integrity and Efficiency
 CISA Cybersecurity Information Sharing Act
 COTR.....Contracting Officer’s Technical Representative
 COVID-19.....Coronavirus 2019
 CTIIC.....Cyber Threat Intelligence Integration Center
 DEIA..... Diversity, Equity, Inclusion, and Accountability
 DIA.....Defense Intelligence Agency
 DISES Defense Intelligence Senior Executive Service
 DNI Director of National Intelligence
 DoD Department of Defense
 ERP External Review Panel
 FIORC..... Five Eyes Intelligence Oversight and Review Council
 FISMA..... Federal Information Security Modernization Act of 2014
 FOIA.....Freedom of Information Act
 The Forum..... Intelligence Community Inspectors General Forum
 FSA.....Financial Statement Audit
 FY.....Fiscal Year
 GAAPGenerally Accepted Accounting Principles

I&E..... Inspections and Evaluations
 ICIntelligence Community
 IC IG.....Office of the Inspector General of the Intelligence Community
 ICWPA.....Intelligence Community Whistleblower Protection Act
 IGISOffice of Inspector-General for Intelligence and Security
 IPA Independent Public Accounting Firm
 MAC..... Management Advisory Committee
 MSD..... Mission Support Division
 NGANational Geospatial-Intelligence Agency
 NRO National Reconnaissance Office
 NSA.....National Security Agency
 ODNI..... Office of the Director of National Intelligence
 OIG Office of the Inspector General
 OMB Office of Management and Budget
 PPD.....Presidential Policy Directive
 SAR Semiannual Report
 SCIF.....Sensitive Compartmented Information Facility
 SOWStatement of Work
 UDUnauthorized Disclosure
 UDPUnauthorized Disclosure Program
 USERRA Uniformed Service Employment and Reemployment Rights Act



THE OFFICE OF THE INSPECTOR GENERAL
OF THE INTELLIGENCE COMMUNITY

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